



**Billing Code 3410-22-P**

**DEPARTMENT OF AGRICULTURE**

**National Institute of Food and Agriculture**

**Notice of Intent to Extend and Revise a Currently Approved  
Information Collection**

**AGENCY:** National Institute of Food and Agriculture, USDA.

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the National Institute of Food and Agriculture's (NIFA) intention to extend and revise a currently approved information collection entitled, "Reporting Requirements for State Plans of Work for Agricultural Research and Extension Formula Funds."

**DATES:** Written comments on this notice must be received by **[INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]** to be assured of consideration. Comments received after that date will be considered to the extent practicable.

**ADDRESSES:** Written comments concerning this notice and requests for copies of the information collection may be submitted by any of the following methods: E-mail: [rmartin@nifa.usda.gov](mailto:rmartin@nifa.usda.gov); Fax: 202-720-0857; Mail: Office of

Information Technology (OIT), NIFA, USDA, STOP 2216, 1400  
Independence Avenue, SW., Washington, DC 20250-2216

**FOR FURTHER INFORMATION CONTACT:** Robert Martin, eGovernment  
Program Leader; E-mail: [rmartin@nifa.usda.gov](mailto:rmartin@nifa.usda.gov); Phone: 202-  
401-5924.

**SUPPLEMENTARY INFORMATION:**

Title: Reporting Requirements for State Plans of Work for  
Agricultural Research and Extension Capacity Grants.

OMB Number: 0524-0036.

Expiration Date of Current Approval: January 1, 2019.

Type of Request: Notice of intent to extend and revise the  
submission requirements for a currently approved  
information collection. The burden for this submission  
remains unchanged.

Abstract: *Type of Request:* Intent to seek approval for the  
extension and revision of a currently approved information  
collection for three years.

*Abstract:* The purpose of this collection of information is  
to continue implementing the requirements of sections 202  
and 225 of the Agricultural Research, Extension, and  
Education Reform Act of 1998 (AREERA) which require that a  
plan of work must be submitted by each institution and  
approved by the National Institute of Food and Agriculture

(NIFA) before formula funds may be provided to the 1862 and 1890 land-grant institutions.

The formula funds are authorized under the Hatch Act for agricultural research activities at the 1862 land-grant institutions, under the Smith-Lever Act for the extension activities at the 1862 land-grant institutions, and under sections 1444 and 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 for research and extension activities at the 1890 land-grant institutions. The plan of work must address critical agricultural issues in the State and describe the programs and projects targeted to address these issues using the NIFA formula funds. The plan of work also must describe the institution's multistate activities as well as their integrated research and extension activities.

This collection of information also includes the reporting requirements of section 102(c) of AREERA for the 1862 and 1890 land-grant institutions. This section requires the 1862, 1890, and 1994 land-grant institutions receiving agricultural research, education, and extension formula funds from NIFA of the Department of Agriculture (USDA) to establish and implement processes for obtaining input from persons who conduct or use agricultural

research, extension, or education concerning the use of such funds effective October 1, 1999.

Section 102(c) further requires that the Secretary of Agriculture promulgate regulations that prescribe what the institutions must do to meet this requirement and the consequences of not complying with this requirement. The Stakeholder Input Requirements for Recipients of Agricultural Research, Education, and Extension Formula Funds (7 CFR Part 3418) final rule (65 FR 5993, Feb. 8, 2000) applies not only to the land-grant institutions receiving formula funds but also to the veterinary and forestry schools that are not land-grant institutions but receive forestry research funds under the McIntire-Stennis Act of 1962 and animal health and disease research funds under section 1433 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA). Failure to comply with the requirements of this rule may result in the withholding of a recipient institution's formula funds and redistribution of its share of formula funds to other eligible institutions. The institutions are required to annually report to NIFA: (1) The actions taken to seek stakeholder input to encourage their participation; (2) a brief statement of the process used by the recipient

institution to identify individuals and groups who are stakeholders and to collect input from them; and (3) a statement of how collected input was considered. There is no legislatively prescribed form or format for this reporting requirement. However, the 1862 and 1890 land-grant institutions are required to report on their Stakeholder Input Process annually as part of their Annual Report of Accomplishments and Results.

Section 103(e) of AREERA requires that the 1862, 1890, and 1994 land-grant institutions establish a merit review process, prior to October 1, 1999, in order to obtain agricultural research and extension funds. Section 104 of AREERA also stipulated that a scientific peer review process be established for research programs funded under section 3(c)(3) of the Hatch Act (commonly referred to as Hatch Multistate Research Funds).

This notice also revises the Plan of Work to include Extension Program Initiations and Annual Reports in the REEport platform in place of the current Planned Programs and make the current REEport Hatch and Evans-Allen approved Research Project Initiations and Annual Progress Reports as a part of the Plan of Work and Annual Report of Accomplishments. This will reduce the overall burden for

Hatch and Evans-Allen research grant recipients. The burden for Extension Grant recipients is estimated to remain the same.

The revised Plan of Work will have the following sections:

- 1). Institutional Profile and Executive Summary
- 2). Merit Review Process
- 3). Stakeholder Input
- 4). Multistate Extension and Integrated Research and Extension
- 5). Critical Issues
- 6). Extension Program Initiations and Research Project Initiations in the REEport Platform

#### **I. Initial 5-Year Plan of Work**

*Estimate of Burden:* The Initial 5-Year Plan of Work as revised will be submitted for the FY 2020-2024 Plan of Work in 2019. The total reporting and record keeping requirements for the submission of the "Initial 5-Year Plan of Work" is estimated to average 64 hours per response. There are six components of this "5-Year Plan of Work": "Critical Issues," "Extension Program and Research Project Initiations in the REEport Platform," "Stakeholder Input

Process," "Merit Review Process," "Multi state Activities," and "Integrated Activities."

*Estimated Number of Respondents:* 75.

*Estimated Number of Responses:* 150.

*Estimated Total Annual Burden on Respondents:* 9,600 hours.

*Frequency of Responses:* Annually.

## **II. Annual Update to 5-Year Plan of Work**

*Estimate of the Burden:* The total reporting and record keeping requirements for the submission of the "Annual Update to the 5-Year Plan of Work" is estimated to average 64 hours per response. There are six components of this "5-Year Plan of Work": "Critical Issues," "Extension Program and Research Project Initiations in the REEport Platform," "Stakeholder Input Process," "Merit Review Process," "Multi state Activities," and "Integrated Activities."

*Estimated Number of Respondents:* 75.

*Estimated Number of Responses:* 150.

*Estimated Total Annual Burden on Respondents:* 9,600 hours.

*Frequency of Responses:* Annually.

## **III. Annual Report of Accomplishments and Results**

*Estimate of the Burden:* The total annual reporting and record keeping requirements of the "Annual Report of

Accomplishments and Results" is estimated to average 260 hours per response.

*Estimated Number of Respondents:* 75.

*Estimated Number of Responses:* 150.

*Estimated Total Annual Burden on Respondents:* 39,000 hours.

*Frequency of Responses:* Annually.

Comments: Comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility; (b) the accuracy of the Agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

All responses to this notice will be summarized and included in the request to OMB for approval. All comments will become a matter of public record.

Done in Washington, D.C., on June 7, 2018.

**Thomas G. Shanower,**

*Acting Director,*

*National Institute of Food and Agriculture.*

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